| | | | | |) | 212 | | | | | |
|---|----------|---|---|--|--|-------------|--|-----------|-------------------|--|--|
| Please type a plus sign (+) inside this the Uncompared the Paperwork Reduction Act of 1995, | _ | | J.S. Patent and Trademan | Office: U.S. (| igh 10/31/2002. OME DEPARTMENT OF C | OMMERCE | | | | | |
| TRANSMITTAL FORM (to be used for all correspondence after initial filing) | | Application Number Filing Date First Named Inventor | | 09/773,116 January 31, 2001 Dempsey, et al. RECEIVED | | | | | | | |
| | | | | | | | Group | Art Unit | 2122 DEC 1 2 2002 | | |
| | | | | | | | Exam | iner Name | NYA | | |
| | | Attorn | Attorney Docket Number CEO-011.01 Technology Center | | | enter 2100) | | | | | |
| | | | ENCL | OSURES | (check all that apply) | | | | | | |
| | | Fee Transmittal Form | | Assignment Papers (for an Application) | | | After Allowance Communication to Group | | | | |
| Fee Attached | ☐ Drawin | ☐ Drawing(s) | | | Appeal Communication to Board of Appeals and Interferences | | | | | | |
| Amendment / Response | Licens | Licensing-related Papers | | | Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) | | | | | | |
| After Final | | Petition Routing Slip (PTO/SB/69) and Accompanying Petition | | | tary Information | 通量 | | | | | |
| Affidavits/declaration(s) | | Petition to Convert to a Provisional Application | | | Letter | | | | | | |
| Extension of Time Request | Chang | Change of Docket Number | | | Enclosure(s) identify below): | 12 | | | | | |
| Express Abandonment Request | | ☐ Terminal Disclaimer ☐ Request for Refund | | | Information Disclosure Statement Under 37 CFR 1.97; International Search Report; References AA - AD; Certificate of First Class Mail; and Return Receipt Postcard. | | | | | | |
| Information Disclosure Statement by Applicant ((Form 1449A) | ' | CD, Number of CD(s) | | | | | | | | | |
| Certified Copy of Priority Document(s) | Rema | rks | Customer | No. 25 | 5181 | | | | | | |
| Response to Missing Parts/ Incomplete Application | | | - | | | | | | | | |
| Response to Missing Parts under 37 CFR 1.52 or 1.53 | | | | | | | | | | | |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be send to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Kevin A. Oliver, Reg. N. 42,049

Firm

Date

Individual name

Signature





PATENT

Attorney Docket No.: CEO-011.01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: Dempsey, et al.

Examiner:

NYA

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Art Unit:

2122

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Technology Center 2100

Filed: January 31, 2001

Application No: 09/773,116

For: Retraining Trainable Data Classifiers

CERTIFICATE OF FIRST CLASS MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail, postage prepaid, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on December 6, 2002.

Kristen Willett

Commissioner for Patents Washington, DC 20231

Sir:

Submitted herewith are:

- 1. Transmittal Form (1 pg.);
- 2. Information Disclosure Statement Under 37 CFR 1.97 (2 pgs.);
- 3. Information Disclosure Statement By Applicant (Form 1449A) (1 pg.);
- 4. International Search Report (4 pgs.);
- 5. References AA AD; and
- 6. Return Receipt Postcard.



PATENT
Attorney Docket No.: CEO-011.01

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re: Application of: Dempsey, Derek M., et

al.

Application No: 09/773,116

Filed: January 31, 2001

For: Retraining Trainable Data Classifiers

Examiner: M. HOCMIES

Art Unit: 2122 217)

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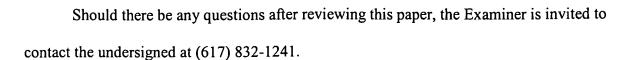
<u>INFORMATION DISCLOSURE STATEMENT</u> <u>UNDER 37 CFR 1.97</u>

Commissioner for Patents Washington, DC 20231

Sir:

Submitted herewith on Form PTO-1449A is a listing of documents identified in an International Search Report (ISR) in a counterpart PCT application in compliance with the requirements of 37 CFR 1.56. A copy of each reference is also being submitted. This submission is being provided prior to the mailing of a first Office Action

Although we believe that we have appropriately provided for any fees due in connection with this submission, the Commissioner is authorized to credit any overpayment or charge any deficiencies to/from our **Deposit Account No. 06-1448**, **Reference CEO-011.01**.



Date: December 6, 2002

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Foley, Hoag LLP
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Boston, MA 02210-2600

Respectfully Submitted,

Kevln A. Oliver Reg. No. 42,049

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